

- 3.2.2** The legal authority and operating control of the institution are clearly defined for the following areas within the institution's governance structure: **(Governing board control)**

Judgment of Compliance

Compliance

Narrative

Albany State University (ASU) is a member of the University System of Georgia (USG) as established under the Board of Regents bylaws. The Board of Regents was established by the State of Georgia General Assembly in 1785 and revised in 1937, defined as the governing body with legal authority and operating control of all public colleges and universities in the state of Georgia [1]. The Board of Regents Policy Manual and the Bylaws of the Board of Regents of the University System of Georgia specify the role of the Board of Regents in governance of Albany State University as well as the internal authority the university holds for administering both Board and institutional policy. The Bylaws also describe appropriate administrative legal authority and operating control of the University [2].

3.2.2.1 Institutional Mission

As addressed in Core Requirement 2.4 and Comprehensive Standard 3.1.1 the mission of the university is primarily controlled by the Board of Regents of the University System of Georgia. All university system missions are guided by the strategic plan of the Board of Regents of the University System of Georgia. The most recent mission statement of the university was developed based on a system-wide mission review in 2004. The current mission statement was written based on state-wide goals and approved by the Board of Regents.

3.2.2.2 Fiscal Stability

The Board of Regents holds responsibility and authority for making all allocations of funds to the institutions within the University System of Georgia [3]. These allocations are made at the April Board of Regents meeting or the next regular Board meeting following the approval of the Appropriations Act or as soon thereafter as may be practicable in each year. The Board holds primary authority for approving the institution's total budget from all sources. The Board exercises its authority annually in conjunction with the preparation and approval of the institution's operating budget for the upcoming fiscal year. This approval is made at the June meeting in each year or as soon thereafter as may be practicable. This authority is also defined in the Board of Regents [4]. The USG has further details about the process and timing of activities [5].

The Board of Regents has exclusive authority over all aspects of ASU's operations, including its financial stability. The Board of Regents Policy Manual Section 700 Finance & Business [6] addresses a comprehensive set of financial management policies and procedures that the institution follows to maintain sound financial health and stability.

3.2.2.3 Related Corporate Entities and Auxiliary Services

Auxiliary services are controlled by the Board of Regents based on guidelines codified in the Board of Regents Policy Manual, section 702.01.02 [7]. The state of Georgia requires that all auxiliary functions be financially self supporting. The university is not permitted to expend state funds on auxiliary operations. University athletics follow auxiliary service financial guidelines although state funds may be expended for maintenance and utilities for athletic facilities. Through corporate entities, the Athletic Department is supported by the Albany State University's Foundation under the Quarterback Club, Booster Club, Diamond Club, Fast Break Club and General Athletics which is maintained through the Foundation Office.

3.2.2.4 Related Foundations

Albany State University has two cooperative organizations: The ASU Foundation and The ASU Alumni association. Both entities are individually incorporated and independent from both the university and the University System of Georgia. Although legally independent, the organizations are controlled by a comprehensive set of regulations documented in the Board of Regents Policy 1905 on Cooperative Organizations [8]. This policy governs the activities of cooperative organizations as well as the university's relationship with them.

Supporting Documentation

- [1] [Legal Authority and Control, Constitution of the State of Georgia, Article VIII, Section IV, Paragraph I, Clause A, Lines 1-3.](#)
- [2] [Legal Authority and Operating Control, Board of Regents Bylaws](#)
- [3] [Board of Regents Exclusive Authority Board of Regents Policy Manual on Finance and Business](#)
- [4] Budget Approval, Policy Manual section, [701](#), [702](#), [705](#) and [710](#)
- [5] [Schedule of Budget Issues, BOR Budget Calendar for 2007](#)
- [6] [Policies on Finance & Business, Board of Regents Policy Manual Section 700](#)
- [7] [Policies on Auxiliary Services, Board of Regents Policy Manual, Section 702.01.02](#)
- [8] [Policies on Cooperative Organizations, Board of Regents Policy 1905](#)